Attorney Docket No.: P-8442-US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

DA SILVA GONCALVES,

Fernando Manuel et al.

Examiner:

Not yet assigned

Serial No.:

Not yet assigned

Group Art Unit:

Not yet assigned

Filed:

Herewith

Title:

INTEGRATED NANOFILTRATION PROCESS TO REDUCE THE ALCOHOL

CONTENT OF ALCOHOLIC BEVERAGES

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, this Information Disclosure Statement includes Form PTO/SB/08:

- 1. \( \subseteq \) listing documents including patents, publications and other information for consideration by the Examiner, however, since the subject application was filed after June 30, 2003, copies of United States patents and/or United States patent application publications are not included in this information disclosure statement; and/or
- 2. Ilisting documents including patents, publications and other information that have been previously cited or submitted to the Patent Office in prior application U.S. Serial No. \_\_\_\_\_, filed \_\_\_\_\_ which is properly identified and relied on for an earlier effective filing date under 35 U.S.C. 120 for consideration by the Examiner; however, in accordance with 37 C.F.R. 1.98(d), copies of such documents are not included in this information disclosure statement; and/or
- 3. Issting documents including patents, publications, and other information for consideration by the Examiner, copies of which are included with this information disclosure statement;
- 4. Ilsting other information for the Examiner's consideration which was cited in a communication from a foreign patent office in a counterpart foreign application, a copy of which is included with this information disclosure statement.

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The information herein cited is only in fulfillment of Applicant(s) duty of candor in disclosing all information brought to Applicant(s) attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each and every document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application and is evidence that the Examiner has considered the document.

This Information Disclosure Statement is being filed:

Within three (3) months of filing the subject Application or entry of the subject
Application into the national stage or before mailing of the first Office Action on the merits of the
subject Application or a request for continued examination thereof, whichever event occurs last
pursuant to of 37 C.F.R §1.97 (b); or
II) After the period specified in (I) but before the mailing date of either a final
Official Action under 37 C.F.R §1.113 or a notice of allowance under 37 C.F.R §1.311 whichever
occurs first and;
1.
Form PTO-1449 was either (i) cited in a communication from a foreign patent office in a
counterpart foreign application not more than three (3) months prior to the filing of this
Information Disclosure Statement or (ii) not cited in a communication from a foreign
patent office in a counterpart foreign application, and, to the knowledge of the
undersigned after making reasonable inquiry, not known to any individual designated in
§1.56(c) more than three (3) months prior to the filing of this information disclosure
statement; or

APPLICANTS:

DA SILVA GONCALVES, Fernando

Manuel et al.

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Page 3

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2.		the undersigned	hereby authorizes	the Patent	Office to	charge th	e fee i	ŋ
the	amount of	\$180.00 under 37	C.F.R §1.17 (p) to	Deposit A	ccount 50-	3355.		
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Ш After the period in (I) and (II) but before the payment of the issue fee and,

1. The undersigned hereby states:

- a) that each item of information cited on the form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or
- that no items of information contained in Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; and
- 2. The undersigned hereby authorizes the Patent Office to charge the Petition fee in the Amount of \$180.00 under 37 C.F.R §1.17 (p) to Deposit Account 50-3355.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-3355.

Respectfully submitted,

Caleb Pollack

Attorney/Agent for Applicants Registration No. 37,912

Dated: December 15, 2005

Pearl Cohen Zedek Latzer, LLP 10 Rockefeller Plaza, Suite 1001 New York, New York 10020

Tel: (212) 632-3480 Fax: (212) 632-3489

PTO/SB/08a (07-05) Approved for use through 07/31/2006. OMB 0851-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Subst	itute for form 1449			Complete if Known			
				Application Number	Not yet assigned		
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STA	ATEMENT B	Y APPLIC	ANT	First Named Inventor	DA SILVA GONCALVES, Fernando Manuel		
				Art Unit	Not yet assigned		
	use as many shee	ts as necessar	V)	Examiner Name	Not yet assigned		
Sheet 1 of 2				Attorney Docket Number	P-8442-US		

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
Initials*	No. 1	Number-Kind Code <sup>2 (If known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
	Α	US-4,812,232	Mar. 14, 1989	Weiss				
	В	US-4,999,209	Jul. 20, 1989	Gnekow				
	С	US-5,324,435	Aug. 31, 1992	Girard et al.				
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	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,				
Initials*	Cite No. 1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Le			
	D	WO 82/02405	Jul. 22, 1982	Bonneau		$\dashv$			
	E	WO 92/08783	May 29, 1992	Dow Danmark A/S					
	F	GB 1 447 505	Aug. 25, 1976	Loewenbraeu Muenchen					
	G	WO 01/78881	Oct. 25, 2001	Tudhope					
	н	EP 0 464 322	Jan. 8, 1992	Perdomini SpA		描			
	1	FR 2620129	Jul 12, 1991	Dikansky et al.		님			
	J	WO 93/23151	Nov. 25, 1993	Smith					
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Examiner		Date	
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Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP20 Rec'd PGT/PTO 19 DEC 2005

PTO/SB/08b (07-05) Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO				Complete If Known			
••				Application Number	Notivet assigned 1540		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	Herewith		
				First Named Inventor	DA SILVA GONCALVES, Fernando Manuel		
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(use as many sheets as necessary)				Examiner Name	Not yet assigned		
Sheet	2 of 2	Attorney Docket Number	P-8442-US				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report for PCT/PT2004/000014 mailed September 3, 2004	
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Examiner Signature		Date	

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 

Applicant is to place a check mark here if English language Translation is attached.